

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY PATENT APPLICATION TRANSMITTAL UNDER 37 CFR 1.53(b)

Address to: Director of Patents Box Patent Application Washington, DC 20231	Attorney Docket No.	ARC920010115US1
	Inventor(s)	C.T. Rettner et al.
	Express Mail Label No.	ET665586952US
	Total Pages	63

Title of Application: OPTICAL APERTURE FOR DATA RECORDING HAVING TRANSMISSION ENHANCED BY WAVEGUIDE MODE RESONANCE

Transmitted with the patent application are the following:

2	Page(s)	Transmittal form (and one copy)
39	Page(s)	Specification, claims, abstract
18	Page(s)	Formal drawings
2	Page(s)	Declaration and Power of Attorney (executed)
1	Page(s)	Recordation Form Cover Sheet
1	Page(s)	Assignment of the Invention to International Business Machines Corporation
	Page(s)	Information Disclosure Statement (IDS) (copies of citations not included in number of pages)

ASSIGNEE RESIDENCE: ARMONK, NEW YORK

Fee Calculation

	Claims	Extra	Rate	Fees
Basic Fee				\$710.00
Total Claims	48	-20 = 28	× \$18.00	\$504.00
Independent Claims	6	-3 = 3	× \$80.00	\$ 240.00
Multiple Dependent Claim			+ \$270.00	0.00
			Assignment	\$ 40.00
			TOTAL	\$1,494.00

The Commissioner is hereby authorized to credit overpayments or charge fees required under 37 CFR 1.16 or 1.17 to Deposit Account 09-0441.

EXPRESS MAIL CERTIFICATE

I hereby certify that the above paper/fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to the Commissioner for Patents, U.S. Patent and Trademark office, P.O. Box 2327, Arlington, VA 22202

Date of Deposit: February 28, 2002

Person mailing paper/fee: Daniel E. Johnson

Signature

Daniel E. Johnson

Respectfully submitted,
C. T. Rettner et al.

Daniel E. Johnson
 Daniel E. Johnson (#37,033)
 Agent for the Applicant
 Telephone (408) 927-3367
 IBM Corporation Dept. C4TA/J2B
 650 Harry Road
 San Jose, CA 95120-6099